12-07-05

FW

Express Mail No: EV 456 921 099 US

DEC 0.5 2005

IN THE VINITED STATES PATENT AND TRADEMARK OFFICE

Application

Bernhard Geuppert, et al.

Confirmation No.:

2358

of:

Serial No.:

10/821,959

Art Unit:

3729

Filed:

April 12, 2004

Examiner:

Not Yet Assigned

For:

METHOD OF MANUFACTURING

Attorney Docket No.:

861840-999025

AN OPTICAL COMPONENT AND OPTICAL SYSTEM USING THE

SAME

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003, and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application.

English translation of foreign language document JP 1015465, is listed on the form PTO-1449, but the accuracy of this translation has not been verified.

Application Serial No: 10/821,959

Page 2

To assist the Examiner, these documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

Date: December 5, 2005

James W. Peterson (Reg. No. 26, 057)

JONES DAY

2882 Sand Hill Road, Suite 240 Menlo Park, CA 94025-7064 Telephone: (650) 739-3939

LIST OF REFERENCES CITED BY APPLICANT					ATTY. DOCKET NO. 861840-999025		APPLICATION NO. 10/821,959		
LIST OF R	CEFE	(Use several sheets if		ANI	APPLICANT Bernhard Geuppert, et al.				
					FILING DATE	ppert, et ur.	GROUP	<del> </del>	· ·
					April 12, 2004	1	3729		
			U.S. P	ATENT DOCU	MENTS			•	
*EXAMINER		DOCUMENT NUMBER	DATE			61.400	GI TOOL 4 GG	FILING	
INITIAL	A01	6,043,863	03/28/00	Ikeda et al.	NAME	CLASS	SUBCLASS	IF APPRO	PRIATE
	A02			-	<del></del>				-
	A03								
	A04								
	A05								
	A06								
	A07								
	A08								
	A09								
	A10								
	A11								
	A12								
			FOREIG	N PATENT DO	CUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS		LATION
	B01	DOCUMENT NUMBER EP 1 338 911 A	DATE 08/2003	Europe	COUNTRY	CLASS	SUBCLASS	TRANS	NO X
	B01 B02				COUNTRY	CLASS	SUBCLASS		NO
		EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS	SUBCLASS	YES	NO
	B02	EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS		YES	NO
	B02 B03	EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS		YES	NO
	B02 B03 B04	EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS		YES	NO
	B02 B03 B04 B05	EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS		YES	NO
	B02 B03 B04 B05 B06	EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS		YES	NO
	B02 B03 B04 B05 B06 B07	EP 1 338 911 A	08/2003	Europe	COUNTRY	CLASS		YES	NO
	B02 B03 B04 B05 B06 B07 B08	EP 1 338 911 A	08/2003	Europe Japan				YES	NO
	B02 B03 B04 B05 B06 B07 B08 B09	EP 1 338 911 A JP 10 154657	08/2003	Europe Japan				YES	NO
	B02 B03 B04 B05 B06 B07 B08	EP 1 338 911 A JP 10 154657	08/2003	Europe Japan				YES	NO
	B02 B03 B04 B05 B06 B07 B08 B09	EP 1 338 911 A JP 10 154657	08/2003	Europe Japan				YES	NO
EXAMINER	B02 B03 B04 B05 B06 B07 B08 B09	EP 1 338 911 A JP 10 154657	08/2003	Europe Japan  Cluding Author,				YES	NO